

## Self-certification – juristic

Ashburton Management Company (RF) (Proprietary) Limited ('Ashburton') (Registration Number: 1996/002547/07) is an approved collective investment schemes manager of the Ashburton Collective Investment Scheme ('Ashburton CIS'), approved by the Financial Sector Conduct Authority ('FSCA') under the Collective Investment Scheme Control Act no. 45 of 2002 ('CISCA') and is a full member of the Association for Savings and Investment SA ('ASISA').

### To do list

Complete this form	
Sign the "Declaration and acceptance" section	
Save it on your device	
Respond to the email that was sent to you	

### Instructions

Due to various legislative requirements, the South African Revenue Service (SARS) requires Ashburton to collect and report certain information about an account holder's tax residency status. If you (or the account holder if you are completing the form on their behalf) have a tax obligation or tax residence outside of South Africa, Ashburton is legally obliged to pass on the information in this form to SARS.

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### Important definitions

These definitions are extracts from the Common Reporting Standard (CRS) regulations. While we take care to provide up-to-date definitions, they may change from time to time without notice and you are encouraged to visit the SARS and Organisation for Economic Co-operation and Development (OECD) websites to view the most up-to-date definitions, which must be read with the commentaries as required by the Regulations. Foreign Account Tax Compliance Act (FATCA) and CRS are international standards and they cannot be interpreted only against the backdrop of South African legislation.

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Entity details and client contact details



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Important definitions





## Entity details and client contact details



In this form, 'Entity' means anyone other than an individual/natural person. Entity includes partnerships, trusts, etc. This document contains defined terms. Please click on the View Definitions tab above to read the definitions before completing the document. Throughout this form ALL boxes must be ticked, classifications must be selected, and all information must be provided where required.

### 1. Entity details

**Note: Everything in this section A relates to the Entity except the main contact person's details.**

Registered name of Entity			
Registration/organisation number of Entity			
Country of incorporation/ place where Entity was created			
Country of operation of Entity			
Registered address			
		Area code	
Country			
(If different to physical address)			
Postal address			
		Area code	
Country			

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## 2. Details of main contact person of Entity

Full name and surname	<input type="text"/>	Cell number	(+ <input type="text"/> )
Email address	<input type="text"/>	Telephone number (work)	(+ <input type="text"/> )

### Entity tax details

Entity tax residencies/obligations: Does the Entity pay tax, have a tax obligation, or is it a tax resident in any other country in addition to or other than South Africa?

☐ Yes ☐ No

If the Entity has no place of tax residency, tick this box ☐

If you have ticked the box above, please indicate the country in which the Entity's principal office/effective management is situated:

Please indicate all countries in which the Entity is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return (including South Africa, if the Entity has South African tax residency):

Tax identification number	Country of issue
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>
<input type="text"/>	<input type="text"/>

Please select any one of the following as the Entity's main source of income/revenue:

Sales goods and services	<input type="checkbox"/>	Loans	<input type="checkbox"/>
Asset disposal	<input type="checkbox"/>	Government grants	<input type="checkbox"/>
Rental income	<input type="checkbox"/>	Membership fees	<input type="checkbox"/>
Interest income	<input type="checkbox"/>	Development funding	<input type="checkbox"/>
Dividend income	<input type="checkbox"/>	Grants	<input type="checkbox"/>
Investment income/capital	<input type="checkbox"/>	Royalty income	<input type="checkbox"/>
Commissions	<input type="checkbox"/>	Share in terms of share trusts	<input type="checkbox"/>
Sponsorship/donations	<input type="checkbox"/>	Fees earned	<input type="checkbox"/>
Insurance pay-outs	<input type="checkbox"/>	Rewards earned	<input type="checkbox"/>



### 3. Entity details

**Please classify the Entity as follows:**

Is the Entity any of the following: Depository Institution, Custodial Institution, Investment Entity, Specified Insurance Company (Financial Institution) or a Trust?

☐ Yes ☐ No

Has the Entity appointed anyone outside of South Africa in a fiduciary capacity?

☐ Yes ☐ No

If yes, specify which country

Is the Entity a trust in respect of which any of its trustees is a Financial Institution?

☐ Yes ☐ No

If yes, provide the Global Intermediary Identification Number (GIIN) of the Entity.

Is the Entity required to report under FATCA or CRS?

☐ Yes ☐ No

If yes, provide the Global Intermediary Identification Number (GIIN) of the Entity.

Does the entity or related party to the entity have a US indicators (e.g., nationality, citizenship, country of residence/registration/operations, telephone US country code)?

☐ Yes ☐ No

If the Entity is sponsored, provide the full name of the Sponsor and the Sponsor's GIIN

Full name

GIIN

If the Entity falls into any of the following classifications, then please select the appropriate classification for the Entity

<input type="checkbox"/> Treaty qualified retirement fund	<input type="checkbox"/> Collective investment scheme
<input type="checkbox"/> Depository institution	<input type="checkbox"/> Investment Entity
<input type="checkbox"/> Custodial institution	<input type="checkbox"/> Specified insurance company

If the Entity is a Financial Institution, please provide the relevant IRS form, if applicable.

Is the Entity listed on any stock exchange?

☐ Yes ☐ No

If the Entity is listed on a stock exchange, provide the name of the stock exchange:

Is the Entity affiliated (related through ownership, control or management) to an Entity listed on any stock exchange?

☐ Yes ☐ No

If the Entity is affiliated to an Entity listed on a stock exchange, provide the name of the stock exchange:

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Please select the appropriate classification for the Entity (not required if the Entity is classified as a Financial Institution):

Government Entity (Active Non-Financial Entity (NFE))	<input type="checkbox"/>
International Organisation (Active NFE)	<input type="checkbox"/>
More than 50% of its gross income comes from active sources (eg, sales, services, manufacturing) (Active NFE)	<input type="checkbox"/>
Non-Profit Organisation (Exempt from tax)	<input type="checkbox"/>
Government Entity (Non-Reporting Financial Institution)	<input type="checkbox"/>
International Organisation (Non-Reporting Financial Institution)	<input type="checkbox"/>
Central Bank (Non-Reporting Financial Institution)	<input type="checkbox"/>
Broad/Narrow Participating Retirement Fund (Non-Reporting Financial Institution)	<input type="checkbox"/>
Pension Fund of Government Entity (Non-Reporting Financial Institution)	<input type="checkbox"/>
Pension Fund of International Organisation (Non-Reporting Financial Institution)	<input type="checkbox"/>
Pension Fund of Central Bank (Non-Reporting Financial Institution)	<input type="checkbox"/>
Qualified Credit Card Issuer (Non-Reporting Financial Institution)	<input type="checkbox"/>
Exempt (regulated) Collective Investment Vehicle (Non-Reporting Financial Institution)	<input type="checkbox"/>
More than 50% of its gross income comes from passive sources (eg, interest, rental, dividends, royalties, donations, sponsorships, insurance pay-outs, investment/capital income (Passive NFE))	<input type="checkbox"/>

If the Entity is a Passive NFE i.e. More than 50% of its gross income comes from passive sources (e.g. interest, rental, dividends, royalties, donations, sponsorships, insurance payouts, investment/capital income), the UBO section must be completed.

If the Entity is a Financial Institution or a Trust and it cannot provide a GIIN, is the Entity sponsored in respect of FATCA compliance?

☐ Yes ☐ No

If yes, an IRS Form W-9 should also be completed (found on the IRS website: <https://www.irs.gov/forms-instructions-and-publications>).

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## Ultimate Beneficial Owner (UBO)



This section must be completed by all Entities who are Passive NFE i.e. more than 50% of gross income comes from passive sources (e.g. interest, rental, dividends, royalties, donations sponsorships, insurance payouts, investment/capital income). The Ultimate Beneficial Owner(s) (UBO) is the individual(s)/natural person(s) who receives, or will receive, the most financial benefit (directly or indirectly) from the Entity, because the individual(s) has a direct or indirect ownership interest in the Entity and/or because the individual(s) directly or indirectly controls the Entity. The details of the ultimate beneficial owner(s) that you must complete must be for individuals (natural persons) – the ultimate beneficial owner cannot be an Entity.

In the Relationship block you are required to select the type of relationship between the ultimate beneficial owner and the Entity. A trust can, for example, not have a shareholder or director. The table below shows potential ultimate beneficial owners and the relationship options that can apply to each type of Entity. If you believe that there is another ultimate beneficial owner who does not appear in the list below, then please add that person and select Via other means in the Relationship block.

Entity	Relationship	Who is the Ultimate Beneficial Owner(s)?
Trust	Trustee, founder, settlor, donor, protector and beneficiary	It will always be each and every trustee, founder, settlor, donor, protector and beneficiary who is named in the trust deed or in the will and testament, or in the case of a class of beneficiaries the UBO will include the named beneficiary upon distribution.
Corporate Vehicles (Companies/Cooperatives/ Close Corporations)	Shareholder, director, executive officer	Beneficial Owner is defined in respect of a corporate vehicle as the natural person/s who, independently or together with another person, ultimately owns 5% or more ownership interest or exercises effective control over the corporate vehicle.  Determining the identity of each natural person who, independently or together with another person, has a controlling ownership interest in the corporate vehicle: If in doubt whether a natural person is the UBO of the corporate vehicle or no natural person has a controlling ownership interest in the corporate vehicle, determine the identity of each natural person who exercises control of that corporate vehicle through other means; including through his or her ownership or control of other corporate vehicles, partnerships, or trusts. If a natural person is not identified as contemplated in a) above, determine the identity of each natural person who exercises control over the management of the corporate vehicle, including in his or her capacity as executive officer, non-executive director, independent non-executive director, director, or manager.
Partnership	Partner	All the partners that are natural persons.
Clubs, associations and stokvels and all other types of non-registered entities	Senior managing official, or via other means	All individuals who are appointed as the authorised representatives as per the bank mandate.

Full name and surname of UBO

Relationship of UBO to Entity (Please state whether the UBO is a: Trustee, Founder, Settlor, Donor, Protector, Beneficiary or class of Beneficiaries, Director/Partner, Shareholder, Member of CC, Senior Managing Official, or is related to the UBO via other means).

Identity number of UBO

Passport of UBO

Country of issue

Date of birth

Ownership of UBO in Entity (%) (not required for trusts)

%

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Telephone number	(+ )	Cell number	(+ )
Email address			
Physical address			
		Suburb	
City		Province	
Area code			
Coutry of residence of UBO		Citizenship of UBO (country)	
Nationality of UBO (country)		Country of birth of UBO	
City/town of birth of UBO		Residency status in South Africa of UBO	

Please indicate all jurisdictions in which the ultimate beneficial owner is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return – including South Africa, if South African tax residency is applicable:

Tax indentification number	Country of issue

Please note that should another Entity exercise control over the Entity, we may request additional details.

[Add additional UBO](#)

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## Declaration and acceptance



**By signing this form, I declare on behalf of the Entity that:**

1. I/we warrant that all information and declarations provided by me/us in this form and all supporting documentation in connection with this form are true, correct and accurate in every respect and undertake to inform Ashburton of any changes thereto as well as any facts or circumstances in future that may impact the Entity's legal status as a client of Ashburton.
2. I/we confirm that Ashburton has not provided any advice in respect of the tax, legal, accounting, regulatory and/or financial consequences of this transaction.
3. I/we have read, understood and agree to be bound by the relevant and latest terms and conditions available on [www.ashburtoninvestments.com](http://www.ashburtoninvestments.com)
4. I/we have read and understood the portion relating to the processing of my personal information in the terms and conditions. Kindly refer to our Privacy Policy located on [www.ashburtoninvestments.com](http://www.ashburtoninvestments.com) for more information on our privacy practices.
5. I/we hereby confirm that the Entity and Ultimate Beneficial Owners hold no other residencies for tax purposes other than those disclosed above in this form and will inform Ashburton in writing of any change of this status within 30 (thirty) days of the change of the disclosed status.
6. I/we hereby indemnify Ashburton against any liability for any loss or damage suffered by the Entity as a result of inaccurate or incomplete information contained herein.

Signed at

Date

Client signature

☐

By selecting this tick box, you are certifying that all information provided in this document is true and accurate. This serves as your approval and signature.

Capacity of signatory

**Save**

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## Instructions



1. This form must be completed on behalf of an Entity account holder.
2. If you are an individual account holder or sole trader, do not complete this form. Instead, please complete the Individual tax residency self-certification form available on our website: <https://www.ashburtoninvestments.com/za/resources/documents-and-forms>.
3. Please ensure that all fields are completed and that the annexure at the end of this form is also completed by all controlling persons of the account holder.
4. A branch of an Entity is treated in its own right for the purposes of the US Foreign Account Tax Compliance Act (FATCA) and the Common Reporting Standard (CRS) of the Organisation for Economic Co-operation and Development (OECD) and the form should be completed with details of the branch to which the account relates and not that of its parent.
5. If the account holder is incorporated or operates in the United States of America, an IRS Form W-9 should also be completed (found on the IRS website: <https://www.irs.gov/forms-instructions-and-publications>).
6. If any ultimate beneficial owners are resident for tax purposes in the United States of America, an IRS Form W-9 should also be completed (found on the IRS website: <https://www.irs.gov/forms-instructions-and-publications>).
7. For Non-profit Organisations who are registered with South African Revenue Service (SARS) as tax exempt, a copy of the Tax Exemption Certificate should accompany this form.
8. Ashburton will not give tax advice. If you have any questions about this form or defining your tax residency status, please speak to your tax adviser or local tax authority. You can also find out more at the OECD automatic exchange of information portal: [www.oecd.org/tax/transparency/automaticexchangeofinformation.html](http://www.oecd.org/tax/transparency/automaticexchangeofinformation.html).

In terms of FATCA, CRS and South African law, we are compelled to ask for the information requested. However, should the requested information not be provided, we have to report the non-compliant status of the account to SARS and the account may be subject to penalties for non-compliance. Additionally, should this be a new account application, we will not be able to allow you to activate the account without the necessary tax residency information.

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## Important definitions



Active income: Income from sources like trading, sales, services, manufacturing, etc. (Note: This term is not defined in regulations and these are mere examples).

Active NFE (Non-Financial Entity) includes any of the following Entities:

- Less than 50% of the NFE's gross income for the preceding reporting period (tax year) is passive income and less than 50% of the assets held by the NFE
- during the preceding reporting period or other appropriate reporting period are assets that produce or are held for the production of passive income.
- Listed Entity.
- Governmental Entity.
- International Organisation.
- Central Bank.

(Note: This definition has been adapted and some provisions omitted.)

Broad Participating Retirement Fund (FATCA): Fund established in South Africa to provide retirement, disability or death benefits, or any combination thereof, to beneficiaries that are current or former employees (or persons designated by such employees) of one or more employers in consideration for services rendered, provided that the fund:

1. Does not have a single beneficiary with a right to more than 5% of the fund's assets.
2. Is subject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in South Africa.
3. Satisfies at least one of the following requirements:
  - The fund is generally exempt from tax in South Africa on investment income under the laws of South Africa due to its status as a retirement or pension plan.
  - The fund receives at least 50% of its total contributions (other than transfers of assets from other plans described in paragraphs A through D of this section or from retirement and pension accounts described in subparagraph A (1) of section V of this Annex II) from the sponsoring employers.
  - Distributions or withdrawals from the fund are allowed only upon the occurrence of specified events related to retirement, disability or death (except rollover distributions to other retirement funds described in paragraphs A through D of this section or retirement and pension accounts described in subparagraph A (1) of section V of this Annex II), or penalties apply to distributions or withdrawals made before such specified events.
  - Contributions (other than certain permitted make-up contributions) by employees to the fund are limited by reference to earned income of the employee or may not exceed \$50 000 annually, applying the rules set forth in Annex I for account aggregation and currency translation.

Custodial Institution: Any Entity that holds, as a substantial portion of its business, financial assets for the account of others. An Entity holds financial assets for the account of others as a substantial portion of its business if the Entity's gross income attributable to the holding of financial assets and related financial services equals or exceeds 20% of the Entity's gross income during the shorter of: (a) the three-year period that ends on the last day of February prior to the reporting period in which the determination is being made, or (b) the period during which the Entity has been in existence.

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**Depository Institution:** Any Entity that accepts deposits in the ordinary course of a banking or similar business.

**Financial Asset:** Includes a security (for example, a share of stock in a corporation, partnership or beneficial ownership interest in a widely held or publicly traded partnership or trust, a note, bond, debenture, or other evidence of indebtedness), partnership interest, commodity, swap (for example, interest rate swaps, currency swaps, basis swaps, interest rate caps, interest rate floors, commodity swaps, equity swaps, equity index swaps, and similar agreements), insurance contract or annuity contract, or any interest (including a futures or forward contract or option) in a security, partnership interest, commodity, swap, insurance contract, or annuity contract. The term “Financial Asset” does not include a non-debt, direct interest in real property.

**Financial Institution:** Refers to a Custodial Institution, Depository Institution, Investment Entity or Specified Insurance Company.

**GIIN:** Global Intermediary Identification Number issued by the United States' Internal Revenue Services to a Financial Institution: [www.irs.gov](http://www.irs.gov)

**Governmental Entity:** The government of a jurisdiction, any political subdivision of a jurisdiction (which, for the avoidance of doubt, includes a state, province, county or municipality), or any wholly owned agency or instrumentality of a jurisdiction, or of any one or more of the foregoing (each, a “Governmental Entity”). This category is comprised of the integral parts, controlled entities and political subdivisions of a jurisdiction.

**International Organisation:** Any international organisation or wholly owned agency, or instrumentality thereof. This category includes any intergovernmental organisation (including a supranational organisation) (a) that is comprised primarily of governments; (b) that has in effect a headquarters or substantially similar agreement with the jurisdiction; and (c) the income of which does not inure to the benefit of private persons.

**Investment Entity: Any Entity**

1. That primarily conducts, as a business, one or more of the following activities or operations for, or on behalf of, a customer:
  - trading in money market instruments (such as cheques, bills, certificates of deposit, derivatives); foreign exchange; exchange, interest rate and index instruments; transferable securities; or commodity futures trading
  - individual and collective portfolio management
  - otherwise investing, administering, or managing Financial Assets or money on behalf of other persons, OR
2. The gross income of which is primarily attributable to investing, reinvesting or trading in Financial Assets, if the Entity is managed by another Entity that is a Depository Institution, a Custodial Institution, a Specified Insurance Company or an Investment Entity described in subparagraph (a).

An Entity is treated as primarily conducting, as a business, one or more of the activities described in subparagraph (a), or an Entity's gross income is primarily attributable to investing, reinvesting, or trading in Financial Assets for purposes of subparagraph (b), if the Entity's gross income attributable to the relevant activities equals or exceeds 50% of the Entity's gross income during the shorter of: (i) the three-year period ending on the last day of February of the reporting period preceding the period in which the determination is made; or (ii) the Reporting Period during which the Entity has been in existence.

**Narrow Participation Retirement Fund (FATCA):** A fund established in South Africa to provide retirement, disability or death benefits to beneficiaries that are current or former employees (or persons designated by such employees) of one or more employers in consideration for services rendered, provided that:

3. The fund has fewer than 50 participants.
4. The fund is sponsored by one or more employers that are not Investment Entities or Passive NFEs.
5. The employee and employer contributions to the fund (other than transfers of assets from treaty-qualified retirement funds described in paragraph A of this section or retirement and pension accounts described in subparagraph A(1) of section V of this Annex II) are limited by reference to earned income and compensation of the employee, respectively.
6. Participants that are not residents of South Africa are not entitled to more than 20% of the fund's assets.
7. The fund is subject to government regulation and provides annual information reporting about its beneficiaries to the relevant tax authorities in South Africa.

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**Non-Financial Entity (NFE):** Any Entity that is not a Financial Institution.

**Non-Profit Organisation:** A non-profit organisation (a) the activities of which are for the benefit of the general public at large and fall within the activities that are set out in Part I of the Ninth Schedule to the Income Tax Act, 1962; and (b) is approved as a public benefit organisation by the Commissioner for SARS under section 30(3) of the Income Tax Act, 1962.

**Passive income:** Income other than active income from sources like interest, dividends, allocations in specie, rental income, royalties, annuities, donations, sponsorships, insurance pay-outs, investment/capital income, etc. (Note: This term is not defined in the regulations and these are mere examples.)

**Passive NFE (Non-Financial Entity):** An Entity that earns more than 50% of gross income from passive income and excluding Active NFEs.

**Qualified Credit Card Issuer: A Financial Institution satisfying the following requirements**

1. The Financial Institution is a Financial Institution solely because it is an issuer of credit cards that accepts deposits only when a customer makes a payment in excess of a balance due with respect to the card and the overpayment is not immediately returned to the customer.
2. Beginning on or before 1 May 2016, the Financial Institution implements policies and procedures either to prevent a customer from making an overpayment in excess of \$50 000, or to ensure that any customer overpayment in excess of \$50 000 is refunded to the customer within 60 days, in each case applying the rules set forth in paragraph C of Section VII for account aggregation and currency translation. For this purpose, a customer overpayment does not refer to credit balances to the extent of disputed charges but does include credit balances resulting from merchandise returns.

**Specified Insurance Company:** Any Entity that is an insurance company (or the holding company of an insurance company) that issues, or is obligated to make payments with respect to, a cash value insurance contract or an annuity contract.

**Sponsor:** (a) An Entity that registers with the IRS and agrees to perform the due diligence, withholding and reporting obligations of one or more Foreign Financial Institutions (FFIs) pursuant to par 1.1471-5(f)(1)(i)(F) or (f)(2)(iii) of FATCA; or (b) an Entity that registers with the IRS and agrees to perform the due diligence and reporting obligations of one or more direct reporting Non-Financial Foreign Entities (NFFE) pursuant to par 1.1472-1(c)(5).

**Treaty Qualified Retirement Fund:** A fund established in South Africa (FATCA Partner), provided the fund is entitled to benefits under an income tax treaty between South Africa and the United States on income that it derives from sources within the United States (or would be entitled to such benefits if it derived any such income) as a resident of South Africa that satisfies any applicable limitation on benefits requirement, and is operated principally to administer or provide pension or retirement benefits.

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## Ultimate Beneficial Owner (UBO) 2



Full name and surname of UBO

Relationship of UBO to Entity (Please state whether the UBO is a: Trustee, Founder, Settlor, Donor, Protector, Beneficiary or class of Beneficiaries, Director/Partner, Shareholder, Member of CC, Senior Managing Official, or is related to the UBO via other means).

Identity number of UBO

Passport of UBO

Country of issue

Date of birth

Ownership of UBO in Entity (%) (not required for trusts)

%

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Telephone number	(+ )	Cell number	(+ )
Email address			
Physical address			
		Suburb	
City		Province	
Area code			
Coutry of residence of UBO		Citizenship of UBO (country)	
Nationality of UBO (country)		Country of birth of UBO	
City/town of birth of UBO		Residency status in South Africa of UBO	

Please indicate all jurisdictions in which the ultimate beneficial owner is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return – including South Africa, if South African tax residency is applicable:

Tax indentification number	Country of issue

Please note that should another Entity exercise control over the Entity, we may request additional details.

Add additional UBO

Finish

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### Ultimate Beneficial Owner (UBO) 3



Full name and surname of UBO

Relationship of UBO to Entity (Please state whether the UBO is a: Trustee, Founder, Settlor, Donor, Protector, Beneficiary or class of Beneficiaries, Director/Partner, Shareholder, Member of CC, Senior Managing Official, or is related to the UBO via other means).

Identity number of UBO

Passport of UBO

Country of issue

Date of birth

Ownership of UBO in Entity (%) (not required for trusts)

%

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Telephone number	(+ )	Cell number	(+ )
Email address			
Physical address			
		Suburb	
City		Province	
Area code			
Coutry of residence of UBO		Citizenship of UBO (country)	
Nationality of UBO (country)		Country of birth of UBO	
City/town of birth of UBO		Residency status in South Africa of UBO	

Please indicate all jurisdictions in which the ultimate beneficial owner is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return – including South Africa, if South African tax residency is applicable:

Tax indentification number	Country of issue

Please note that should another Entity exercise control over the Entity, we may request additional details.

Add additional UBO

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## Ultimate Beneficial Owner (UBO) 4



Full name and surname of UBO

Relationship of UBO to Entity (Please state whether the UBO is a: Trustee, Founder, Settlor, Donor, Protector, Beneficiary or class of Beneficiaries, Director/Partner, Shareholder, Member of CC, Senior Managing Official, or is related to the UBO via other means).

Identity number of UBO

Passport of UBO

Country of issue

Date of birth

Ownership of UBO in Entity (%) (not required for trusts)

%

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Telephone number	(+ )	Cell number	(+ )
Email address			
Physical address			
		Suburb	
City		Province	
Area code			
Coutry of residence of UBO		Citizenship of UBO (country)	
Nationality of UBO (country)		Country of birth of UBO	
City/town of birth of UBO		Residency status in South Africa of UBO	

Please indicate all jurisdictions in which the ultimate beneficial owner is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return – including South Africa, if South African tax residency is applicable:

Tax indentification number	Country of issue

Please note that should another Entity exercise control over the Entity, we may request additional details.

[Add additional UBO](#)

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## Ultimate Beneficial Owner (UBO) 5



Full name and surname of UBO

Relationship of UBO to Entity (Please state whether the UBO is a: Trustee, Founder, Settlor, Donor, Protector, Beneficiary or class of Beneficiaries, Director/Partner, Shareholder, Member of CC, Senior Managing Official, or is related to the UBO via other means).

Identity number of UBO

Passport of UBO

Country of issue

Date of birth

Ownership of UBO in Entity (%) (not required for trusts)

%

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Telephone number	(+ )	Cell number	(+ )
Email address			
Physical address			
		Suburb	
City		Province	
Area code			
Coutry of residence of UBO		Citizenship of UBO (country)	
Nationality of UBO (country)		Country of birth of UBO	
City/town of birth of UBO		Residency status in South Africa of UBO	

Please indicate all jurisdictions in which the ultimate beneficial owner is tax resident, registered for tax, obliged to pay tax and/or required to submit a tax return – including South Africa, if South African tax residency is applicable:

Tax indentification number	Country of issue

Please note that should another Entity exercise control over the Entity, we may request additional details.

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