

Self-Certification

Tax regulations require the collection of certain information about each account holder's tax residency and citizenship status.

This form is designed to capture the citizenship and residency for tax purposes of the person entitled to income and assets associated with an account.

Please complete the relevant sections below and provide any additional information as may be required. Please be advised that in certain circumstances we may be required to share this information with relevant tax authorities.

If you have any questions about how to complete this form, please contact your tax or financial advisor.

In terms of the Foreign account tax compliance act (FATCA), we are obliged by law to ask for the information requested. You may decline the request to provide the information, however, should the requested information not be provided we advise that we will report the undocumented status of the account to the South African Revenue Service (SARS) and the account may be subject to a withholding penalty for non-compliance.

If you are a US Legal Entity, please complete the W9 form available on the United States federal government website www.irs.gov.

If you are a Non-Financial Foreign Entities (NFFEs) with substantial US owners or a Foreign Financial Institution (FFI), please complete the applicable W8 IRS form available on the United States federal government website www.irs.gov.

01. Individual

Title Surname

First name(s)

Identity/Passport number Expiry date of passport Date of birth

Country of birth Country of residence

Country of issue of passport

Are you a registered tax payer? Yes No

Please list all your issued tax registration details below. Note that line 1 is reserved for South African tax registration details.

No.	Tax identification number	Country of issue	Are you considered to be a citizen in this country?		Are you considered to be a national in this country?		Are you currently a tax resident in this country?	
			Yes	No	Yes	No	Yes	No
1		South Africa	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
2			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
3			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
4			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
5			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>
6			Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>	Yes <input type="checkbox"/>	No <input type="checkbox"/>

Contact telephone number

Are you a US citizen (including dual citizen) or a registered US tax payer?

Yes No

02. Legal entities

Please complete this section if you are a related party on an investment. Please refer to the Checklist for supporting documents required and submit together with this form.

Relationship: Trust founders Trustees Trust beneficiaries Managers Shareholders/Members
Partners Authorised persons

Please provide details for the account you are linked to:

Investor ID

Investor name

Registration number

03. Declaration

All sections of the self-certification must be completed, signed and dated, or the self-certification is deemed incomplete.

Failure to provide a completed self-certification within 90 (ninety) days of acceptance of your application form, will render the investor's account status to be, reportable to SARS within the deemed reporting period the self-certification is incomplete.

Non-compliance may subject an investor to a withholding penalty as per the FATCA regulations. The status "Undocumented" will change in the calendar year wherein the investor has provided the outstanding details and thus, not reportable to SARS as non-FATCA compliant.

I hereby confirm and warrant that the information provided is true and correct, and I hold no other citizenships and residencies for tax purposes other than those disclosed above in this declaration. I will inform the Administrator in writing of any change of this status within 30 (thirty) days of the change of the disclosed status.

Signed at

Signature

Date