

# Unit Trust Additional Contribution Form

Ashburton Management Company RF (Proprietary) Ltd ("Ashburton") is the administrator of the Ashburton range of unit trusts, and is authorised to do so as a manager in terms of the Collective Investment Schemes Control Act (no 45. of 2002).

## Instructions

1. Please complete all relevant sections of this application in order to process this investment.
2. All valid instructions received before **2pm** on a business day will be processed on the same day and receive the closing price of that day. If received after **2pm**, instructions will only be processed on the next business day for that day's closing price. For investments in the money market fund instructions must be received by **11am** on a business day to receive that day's closing price.
3. Banking details will be supplied once your application has been accepted. Once deposited, please provide proof of deposit.
4. Please email this documentation to [ashburtoninstruct@investoradmin.co.za](mailto:ashburtoninstruct@investoradmin.co.za), or fax it to 0860 762 468.
5. Ashburton will only process this application when all required documents are received and when the deposit reflects in the bank account specified at the end of this form.
6. We recommend that you read the relevant and latest terms and conditions, as they may have changed since your original investment. These are available on [www.ashburtoninvestments.com](http://www.ashburtoninvestments.com) or from the client service team on 0860 000 339.

The investor/financial services provider/representative hereby agrees to provide all documentation and information required in terms of the Financial Intelligence Centre Act, No. 38 of 2001, and understands that Ashburton is prohibited from processing any transaction on his/her behalf until all such documentation and information has been provided and Ashburton has satisfied itself that all requirements have been met.

## 01. Investor details

Investor ID

Additional contribution to an existing account

Create a new account

### Individual investors section

Title  Surname

First name(s) of investor

Identity/Passport number

Date of birth

|  |  |  |  |  |  |  |  |  |  |  |

Telephone number (home)

Telephone number (office)

Mobile number

### Legal entities section

Registered name

Registration number (juristic person, i.e. company, close corporation, trust, etc)

### Person acting on behalf of investor

Title  Surname

First names

Identity/Passport number

Telephone number (office)

Mobile number

## 02. Source of funds

Existing Ashburton account  Sale of investments  Bonus  Savings/bank account  Inheritance  Salary   
Property sale  Transfer from other financial services provider  Loan  Assets of trust/investment company   
Other (specify) \_\_\_\_\_

Please note that in certain circumstances we may request additional information.

## 03. Payment details and bank debit authority

The minimum investment for an additional contribution is R2 000.00 per fund or R500.00 per fund for a recurring investment.

Please select the relevant option:

- a) Lump sum   
b) Recurring investment

### a) Lump sum

Investment amount R \_\_\_\_\_

If you are electing to phase-in your investment please complete this section:

Investments for phase-ins will be held in the Ashburton Money Market Fund and will be phased-in to your chosen investment portfolio on the 1st business day of the month. The minimum period for phase-ins is three months and maximum 24 months. If the phase-in instruction is received by the 20th of the month the first phase-in will take place the end of that same month. If selecting immediate phase-in please indicate the percentage required.

Immediate phase-in percentage \_\_\_\_\_ % Number of phases after immediate phase-in, or total if no immediate phase-in \_\_\_\_\_

### Payment method

Electronic funds transfer/direct deposit  Once-off debit

Banking details will be supplied once your application has been accepted.

Once off debits are restricted to a maximum of R1 000 000, only one debit of R1 000 000 will be processed in any 40 calendar day period. The alternative payment method for amounts exceeding R1 000 000 is an electronic funds transfer directly into our bank account. Ashburton will debit your account within two business days of receiving a valid and complete application and supporting documents or on the date specified below, whichever is the later.

Once off debit date | Y | Y | Y | Y | Y | M | M | D | D |

### b) Recurring investment

Cut-off dates for submission of instructions is the 20th of the month for an investment on the 1st business day of the following month.

Recurring investment amount R \_\_\_\_\_

Your bank account is debited on the 1st business day of the month, and units are purchased that same business day.

### First debit order date

| Y | Y | Y | Y | Y | M | M | 0 | 1 |

Annual escalation   %

### Next increase date

(The date you select here will be your anniversary date going forward. If a date is not specified the default date will be the anniversary of this initial investment.)

| Y | Y | Y | Y | Y | M | M | 0 | 1 |

### Debit order and once-off debit authority

Authorised amount R \_\_\_\_\_

Authorised amount in words  
\_\_\_\_\_

If the bank account for debit orders is different to the one specified in Section 02, please complete bank details for debit orders here.

Please supply proof of bank details (a cancelled cheque; or relevant bank statement; or letter on bank's letterhead or with bank stamp).

Name of bank

\_\_\_\_\_

Branch code

Branch name

\_\_\_\_\_

\_\_\_\_\_

Account name

\_\_\_\_\_

Account no.

Type of account

\_\_\_\_\_

Current  Savings

I hereby authorise Ashburton to draw direct debits against the bank account specified. Debit orders can only be processed from a South African bank account. Units bought with a debit order can only be redeemed after 40 days. If the bank account holder is not the same as the investor (3rd party contribution) then the actual account holder must sign below as authority to debit their bank account and must provide full FICA documentation as per the requirements listed in the Checklist available on our website. If the bank account holder is not the investor, he/she acknowledges that Ashburton does not make third party payments, in other words, payment will only be made to the investor unless in the event that the investor is a minor. Please note that the reference for the debit order on your bank account will be "Ashburton".

Signature of bank account holder

Date

\_\_\_\_\_

| Y | Y | Y | Y | M | M | D | D |

#### 04. Investment details

For details of the Ashburton range of funds please visit our website at [www.ashburtoninvestments.com](http://www.ashburtoninvestments.com)

#### Investment portfolio

Unit trust name	Lump sum %	or Recurring %
Ashburton Money Market Fund		
Ashburton Stable Income Fund		
Ashburton SA Income Fund		
Ashburton Diversified Income Fund		
Ashburton Targeted Return Fund		
Ashburton Balanced Fund		
Ashburton SA Equity Fund		
Ashburton Property Fund		
Ashburton Bond Fund		
Ashburton Global Flexible Fund		
Ashburton Global Leaders ZAR Equity Feeder Fund		
<b>Multi manager funds</b>		
Ashburton Multi Manager Bond Fund		
Ashburton Multi Manager Equity Fund		
Ashburton Multi Manager Income Fund		
Ashburton Multi Manager Property Fund		
Ashburton Multi Manager Prudential Flexible Fund		
<i>Please ensure that the % allocation adds up to 100%.</i>	<b>100%</b>	<b>100%</b>

## 05. Confirmation of advisor fees

Your existing fee structure will apply. If you would like a different initial fee for this transaction, please specify the amount below, excluding VAT.

Initial fees (max 3%, excluding VAT)

.  %

## 06. Declaration by financial services provider ("FSP")

The FSP/representative, by appending his/her signature hereto, states and declares that he/she has read and understood the terms and conditions pertaining to this investment product; warrants that all statements given by him/her in the application form are true and correct in every respect and that such statements shall form the basis of the contract, which is to be entered into, between the investor and Ashburton, as well as the contract between the investor and the FSP/representative as regards the FSP/ representative. All statements made, and details supplied by him/her on the investor's behalf, whether by his/her own hand or otherwise, are true and correct, and that Ashburton is entitled to regard them as such for the purposes of considering the investor's application to invest in the account, as well as for the purposes of paying any fees to the FSP/representative; and has adhered to all the requirements of the FAIS Act and its applicable subordinate legislation and Codes of Conduct in the course of rendering financial services to the investor.

The FSP/representative further acknowledges that he/she is aware that the investor will be screened and profiled by Ashburton in terms of the Ashburton FICA requirements, and may be required to submit additional documentation such as financial statements and asset and liability statements where the investor falls within a certain risk category as defined by Ashburton. The FSP/representative acknowledges that he/she has personally explained all the fees and commissions applicable to this investment to the investor.

Signature of FSP/Representative

Date

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## 07. Declaration by investor

By signing this application form, the investor declares that:

1. I warrant that all information and declarations provided by me in this application form and all other applicable documents to this investment are true and correct in every respect.
2. I have read, understood and agree to the terms and conditions available on [www.ashburtoninvestments.com](http://www.ashburtoninvestments.com) or from the client service team on 0860 000 339 and agree to be bound by such terms and conditions.
3. I confirm that Ashburton has not given me any advice in respect of the tax, legal, accounting, regulatory and/or financial consequences of investing in this investment applied for in terms of this application form.
4. I confirm that the money that I am investing in terms of this application form is not derived from the proceeds of any unlawful activities as defined and contemplated in POCA.
5. I fully understand the information and the risks that come with this investment and where I have a financial advisor, I confirm that the information on this investment product has been fully and adequately explained to me by my financial advisor.
6. I agree and understand that if I have elected not to have a financial advisor that Ashburton is unable to provide updates on legal changes or industry developments, and that Ashburton will not be monitoring my investment compared to my personal investment goals.

Signed at

Date

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Signature of investor (or duly authorised person/s where applicable)

Signature of legal guardian (if applicable)