

Supplementary application form – Luxembourg Regulated Funds

For Private Individuals only.

Please complete and return with the full application form.

Application details

(please use BLOCK CAPITALS)

Applicant 3

Are you an existing Ashburton client? (tick as appropriate & detail client number, if existing).

☐ Yes ☐ No

Client number
(eg. PXXXXXX / C_XXXX)

Title	<input type="text"/>	Last name	<input type="text"/>
Forename	<input type="text"/>	Middle name(s)	<input type="text"/>
Former/maiden name	<input type="text"/>	Account designation (if required)	<input type="text"/>
Date of birth	<input type="text"/>	Town/City	<input type="text"/>
Nationality and dual nationality	<input type="text"/>	Country of domicile	<input type="text"/>
Country of birth	<input type="text"/>		

If you are resident outside your country of birth, do you still have any financial connection with your country of birth? If yes please provide details:

☐ Yes ☐ No

Residential address (PO Box is not acceptable)	<input type="text"/>		
Town	<input type="text"/>	Country	<input type="text"/>
Postcode	<input type="text"/>		

What type of document are you using to verify your residential address? (e.g. utility bill)

What is the issue date of the document you are using to verify your residential address? (dated within the last 3 months)



Application details (continued)

Correspondence address (if different to above)

Address			
Town		Country	
Postcode			
Home telephone number (including country code and area code)			
Mobile number (including country code and area code)			
Email address			

Valuations

Valuations are prepared quarterly and delivered to you by the following methods. How would you like to receive your valuation?

<input type="checkbox"/> Email	<input type="checkbox"/> Ashburton online portal
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Tax: Please indicate ALL countries in which you are resident for the purposes of that country's income tax. If more than one country, please list each country separately.

Country	Tax or personal identification number*

* Where a country does not issue a Tax identification number a "functional equivalent" may be used. This is, for example, a social security number or national insurance number, or personal identification number or a resident registration number.

US Green Card holder

<input type="checkbox"/> Yes	<input type="checkbox"/> No
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US citizen

<input type="checkbox"/> Yes	<input type="checkbox"/> No
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Please detail what type of document you are using to verify your identification (e.g. passport)

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What is the number of the identification document?

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Place of issue of your identification document

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Application details (continued)

Issue date of your identification document

Expiry date of your identification document

Employment details

☐ Employed ☐ Self-employed ☐ Retired (Please provide details of last employment)

Other (please provide further details)

Name of employer

Employer's business

Job title/position held

Length of service

Have you, a family member or close associate ever been a Politically Exposed Person (PEP)?

☐ Yes ☐ No

Have you ever been entrusted with a prominent public function?

☐ Yes ☐ No

Have commission or consultancy fees ever been a source of wealth?

☐ Yes ☐ No

If you have answered yes to any of the questions above, please provide a full explanation. Further documentary evidence may be required. (Please continue on a separate piece of paper if required)

Applicant 4

Are you an existing Ashburton client? (tick as appropriate & detail client number, if existing).

☐ Yes ☐ No

Client number
(eg. PXXXXXXX / C_XXXX)

Title

Last name

Forename

Middle name(s)

Former/maiden name

Account designation (if required)

Date of birth

Town/City

Nationality and dual nationality

Country of domicile

Country of birth



Application details (continued)

If you are resident outside your country of birth, do you still have any financial connection with your country of birth? If yes please provide details:

☐ Yes ☐ No

Residential address
(PO Box is not acceptable)

Town

Country

Postcode

What type of document are you using to verify your residential address? (e.g. utility bill)

What is the issue date of the document you are using to verify your residential address? (dated within the last 3 months)

Correspondence address (if different to above)

Address

Town

Country

Postcode

Home telephone number (including country code and area code)

Mobile number (including country code and area code)

Email address

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Application details (continued)

US Green Card holder

☐ Yes ☐ No

US citizen

☐ Yes ☐ No

Please detail what type of document you are using to verify your identification (e.g. passport)

What is the number of the identification document?

Place of issue of your identification document

Issue date of your identification document

Expiry date of your identification document

Employment details

☐ Employed ☐ Self-employed ☐ Retired (Please provide details of last employment)

Other (please provide further details)

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Employer's business

Job title/position held

Length of service

Have you, a family member or close associate ever been a Politically Exposed Person (PEP)?

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☐ Yes ☐ No

If you have answered yes to any of the questions above, please provide a full explanation. Further documentary evidence may be required. (Please continue on a separate piece of paper if required)



Contact details

Please return to JTC Fund Solutions (Jersey) Limited as Administrator of the funds, as below:

Postal address

(To be used when returning application forms or general correspondence).

Ashburton Investments,
PO Box 13422,
Dunmow, CM7 0PY

Registered address

(To be used when returning original or certified documents via courier).

Corporate Mailing Solutions Limited,
Unit 4B, Chelmsford Road Ind. Estate,
Great Dunmow, Essex, CM6 1HD

Tel: 0800 735 3899 (UK domestic) and + 44 203 832 3899 (International).

Email: ashburton.funddealing@jtcgroup.com (for all fund trades) or ashburton.fundenquiries@jtcgroup.com (for all fund enquiries).

Waystone Management Company (Lux) S.A. is regulated by the Commission de Surveillance du Secteur Financier (CSSF) (ref A00000395 & S00000734), Waystone Management Company (Lux) S.A. is a company located in Luxembourg, L-1273 Luxembourg at 19, Rue de Bitbourg. This document is Issued by Ashburton Fund Managers (Pty) Limited (The Investment Manager) (Reg number 2002/013187/07), which has its registered office at 3 Merchant Place, 1 Fredman Drive, Sandton, 2196, South Africa and is an authorised financial services provider (FSP number 40169), registered with the Financial Sector Conduct Authority (FSCA). The funds are authorised in Luxembourg and regulated by the Commission de Surveillance du Secteur Financier (CSSF). The fund does not distribute; it accumulates. In South Africa, the Fund(s) is/are approved for promotion under section 65 of the Collective Investment Schemes Control Act 2002. The Fund Prospectus, and further information including pricing and changes, may be viewed at the Fund's representative office in South Africa: Ashburton Management Company (RF) Proprietary Limited ("Ashburton CIS"), of the same address. Ashburton CIS is an approved collective investment schemes manager regulated by the Financial Sector Conduct Authority and a full member of the Association of Saving and Investments South Africa. In the event a potential investor requires material risks disclosures for the foreign securities included in a portfolio, the manager will upon request provide such potential investor with a document, outlining potential constraints on liquidity & repatriation of funds; Macroeconomics risk; Political risk; Foreign Exchange risk; Tax risk; Settlement risk; and Potential limitations on the availability of market information. The value of participatory interests and the income from them may go down as well as up and is not guaranteed. Past performance is not necessarily a guide to the future performance. Where an investment involves exposure to a currency other than that in which it is denominated, changes in rates of exchange may cause the value of the investment to go up or down. CIS portfolios are traded at ruling prices and can engage in borrowing and scrip lending. A full detailed schedule of fees, charges and commissions is available from Ashburton on request and incentives may be paid and if so, would be included in the overall costs. The manager does not provide any guarantee either with respect to the capital or the return of a portfolio. The manager has a right to close the portfolio to new investors in order to manage the portfolio more efficiently in accordance with its mandate. This document does not constitute an offer or solicitation to any person in any jurisdiction in which Ashburton Fund Managers (Pty) Limited is not authorised or permitted to communicate with potential investors, or to anyone who would be an unlawful recipient. The original recipient is solely responsible for any actions in further distribution of this document and should be satisfied in doing so that there is no breach of local legislation or regulations. This is a marketing communication. The Management company has the right to terminate the arrangements made for Marketing. Additional information about this product, including brochures, prices, application forms, Prospectus, KIID and annual or half-yearly reports, can be obtained from the Manager, free of charge, and from the website: www.ashburtoninvestments.com