

Trade instruction form – Jersey Funded Account

This form should be used if you already hold a Funded Account, with holdings in the Ashburton Money Market Fund or Ashburton Replica Fund and wish to:

1. Fully liquidate/close your account – complete sections 2, 4 & 7
2. Make a partial withdrawal – complete sections 3, 4 & 7
3. Switch/reinvest funds – complete sections 3 & 5
4. Invest new/additional funds – complete sections 5 & 6

All clients must also complete Section 1 (Account details) and read the important notes in Section 9 before signing.

1. Account details

First name(s)		Surname	
Company name		Investor ID P number / C number	
Contact telephone number		Investment account name	
Email address			

2. Full liquidation account closure request

☐ I/we wish to liquidate all holdings in the above Account and ask that you transfer the proceeds to the bank account noted in section 7 of this instruction form.

☐ I/we understand and accept that once this instruction has been acted upon, the decision cannot be reversed and our Account will be closed*.

*Compulsory field

3. Partial withdrawal or redirection of funds request

I/we would like to switch out of/sell the following:

Full name of the fund	Class	Number of shares, or investment amount

Should you need additional lines, please continue in the 'Additional notes' section on the final page.

☐ I/we request that the proceeds be reinvested, into the new fund/s, noted in Section 5 of this instruction form.

☐ I/we request that the proceeds be returned to me / us, using the bank details noted in Section 7 of this instruction form.



4. Disinvestment details

General notes:

- In the event that the total investment value of any Account is withdrawn, any distributions in relation to that Account will also be credited to the bank account into which the settlement proceeds are paid.
- Any disinvestment requires Ashburton to contact the relevant investor or financial advisor to obtain confirmation. Should we be unable to obtain this confirmation the disinvestment instruction may be delayed and the turnaround times and value dates may be impacted.

You must select your reason for disinvestment from the list below, then provide further detail in the box below:

<input type="checkbox"/> To pay annual Trustee fees	<input type="checkbox"/> Emigration	<input type="checkbox"/> Pension payout
<input type="checkbox"/> Transfer to an external fund manager	<input type="checkbox"/> Transfer to an internal fund	<input type="checkbox"/> Performance related issues
<input type="checkbox"/> Service related issues	<input type="checkbox"/> Trust related transaction	<input type="checkbox"/> Other (Additional details required)

For partial repayment of a trust loan to being a beneficiary of the Trust, to cover:

<input type="checkbox"/> Property rental expenses	<input type="checkbox"/> Mortgage payments	<input type="checkbox"/> Utility bills
<input type="checkbox"/> Household expenses	<input type="checkbox"/> Property purchase	<input type="checkbox"/> Transferring into an investment portfolio
<input type="checkbox"/> Car purchase	<input type="checkbox"/> Medical expenses	<input type="checkbox"/> Care home expenses

Please provide other / additional details here:

NB: This information is also used for statistical and transaction monitoring purposes to help us improve the overall client experience.

5. New investment instruction

I/we wish to make the below new investment instruction for the Account noted above, and I/we accept that any new investment will be subject to the original terms, conditions and fees, as agreed on our original application and/or any subsequent agreements, copies of which can be requested at any time.

I/we would like to switch out of/sell the following:

Full name of the fund	Class	Number of shares, or investment amount

Should you need additional lines, please continue in the 'Additional notes' section on the final page.



6. Source of funds

(Please provide details of the activity which has generated these additional funds that you are investing)

Please provide details here:

7. Bank details of the investor

The bank account must be in the name of the investor and must match bank details held on file. Bank verification, if not already held on file, will also need to be provided before payment can be made. Should there be any AML/CFT/CPF documentary requirements these will need to be covered through receipt of certified original copies in line with regulatory requirements before payment can be made. Please note that no third party payment requests will be accepted.

Name of bank			
Bank address			
Town		Country	
Postcode		Bank account number	
Name of account		Sort code	
SWIFT code		IBAN	

All payments will be made electronically.

8. Client declaration

1. I/we warrant that all information provided by me/us in this form and all other applicable documents to this instruction are true and correct in every respect.
2. I/we have read, understood and agree to be bound by the latest terms and conditions found on www.ashburtoninvestments.com.
3. I/we confirm that Ashburton (Jersey) Limited and/or Ashburton Fund Managers (Proprietary) Limited ('Ashburton Investments') has not, in relation to this instruction, given me any advice.
4. I/we understand that any withdrawal request could trigger a tax liability and that I/we are recommended to seek tax advice before proceeding.
5. Should the investment be held in trust, we confirm that we have / will inform Ashburton Investments, of any newly vested beneficiaries and understand our obligation to provide supporting CDD thereon.

Signature of Investor/Authorised person(s)

Full name			
Signature		Date	

Signature of Investor/Authorised person(s)

Full name			
Signature		Date	



9. Important notes

1. Please note that in the event of a full account closure request, all outstanding charges will be deducted from the amount payable.
2. No action will be taken on any request, until written notification has been provided by the minimum number of authorised persons named on the account.
3. Any incomplete instruction will result in a delay in processing your instruction and Ashburton Investments shall not be liable for any direct, indirect, special or consequential loss or damages arising from such a delay.
4. If for any reason this form is incomplete and your instruction cannot be processed correctly, Ashburton Investments will not be obliged to process any portion of the instruction.
5. Any trades will be executed on a best endeavors basis.
6. Ashburton Investments reserves the right to decline requests to trade in complex funds, or un-investable stocks.
7. All statements made on behalf of Ashburton Investments should infer, where relevant, to JTC Fund Solutions (Jersey) Limited as Transfer Agent to the funds.

10. Additional notes

Contact details

Postal address

(To be used when returning application forms or general correspondence).

Ashburton Investments,
PO Box 13422,
Dunmow, CM7 0PY

Tel: 0800 735 3899 (UK domestic) and + 44 203 832 3899 (International).

Email: ashburton.funddealing@jtcgroup.com (for all fund trades) or
ashburton.fundenquiries@jtcgroup.com (for all fund enquiries).

Registered address

(To be used when returning original or certified documents via courier).

Corporate Mailing Solutions Limited,
Unit 4B, Chelmsford Road Ind. Estate,
Great Dunmow, Essex, CM6 1HD

www.ashburtoninvestments.com

Issued by Ashburton Fund Managers (Proprietary) Limited (The investment manager to the fund), a licensed Financial Services Provider ("FSP") in terms of the Financial Advisory and Intermediary Services Act, 37 of 2002 ("FAIS Act"), with FSP number 40169, regulated by the Financial Sector Conduct Authority. The Ashburton Money Market Funds Limited is domiciled in Jersey and the fund manager is Ashburton (Jersey) Limited which has its registered office at Floor One, Liberation Station, Esplanade, St Helier, JE4 8SJ, Channel Islands. Both the fund and the manager are regulated by the Jersey Financial Services Commission. Ashburton Investments is a registered trading name of Ashburton (Jersey) Limited also authorised for use by Ashburton Fund Managers (Proprietary) Limited.

In South Africa, the fund is approved for promotion under section 65 of the Collective Investment Schemes Control Act, 2002. Ashburton Management Company (RF) (Proprietary) Limited is an approved collective investment schemes (CIS) manager of the Ashburton Collective Investment Scheme ("Ashburton CIS") and regulated by the Financial Sector Conduct Authority (FSCA).

Collective Investment Schemes are generally medium- to long-term investments. The value of participatory interests (units) and the income from them may go down as well as up and you may not recover the amount of your original investment. Past performance is not necessarily a guide to future performance. Collective investments are traded at ruling prices and may engage in scrip lending and borrowing. Where investment in a Fund involves exposure to currency other than that in which the Fund is denominated, changes in exchange rates may cause the value of the Fund to go up or down. A schedule of fees, charges, and maximum commissions, as well as a detailed description of how performance fees are calculated and applied, is available on request from the manager. The manager may close the fund to new investors in order to manage it efficiently according to its mandate.

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