

NOTIFICATION OF CESSION



Instructions

1. The cedent and cessionary ('the parties') request the Administrator to note a cession on this investment.
2. The parties agree that this form is not a deed of cession, but merely a request by the cedent and cessionary for the Administrator to note a cession as previously agreed between the parties.
3. There are separate documents required for a collateral cession or an outright cession – please refer to the sections below for the requirements.
4. Documentation required in order for us to process your application:
For collateral cession:
 - a. This form duly completed, initialed on each page and signed by the cedent and cessionary.
 - b. Copy of deed of pledge/underlying principal agreement between the parties specifying the ceded amount.
 - c. Signed instruction from the cessionary, where applicable on the institutions letterhead, with mention of the full investment details of the cedent.
 - d. Contact details of the cessionary.
 - e. Copies and FICA documentation for the cessionary, as per the FICA documentation checklist found on our website at www.ashburtoninvestments.com.
 - f. If the cedent is not FICA compliant, copies of the cedent's FICA documentation.For outright cession:
 - g. This form duly completed, initialed on each page and signed by the cedent and cessionary.
 - h. Copy of deed of pledge/underlying principal agreement between the parties.
 - i. Investment application form duly completed by the cessionary (if the cessionary is a new investor).
 - j. Copies of business and FICA documentation for the cessionary, as per the Business and FICA documentation checklists found on our website at www.ashburtoninvestments.com.
 - k. If the cedent is not FICA compliant, copies of the cedent's FICA documentation.
 - l. Copy of marriage certificate if it is a transfer between spouses.
 - m. Proof of cessionary's bank account details if the cessionary is a new investor.
5. Please email required documents to instruct@ashburtoninvest.co.za.
6. Both parties to the cession (cedent and cessionary) are to initial all pages of the form.

The investor/financial services provider ("FSP")/authorised representative hereby agrees to provide all documentation and information required in terms of FICA, and understands that the Administrator is prohibited from processing any transaction on his/her behalf until all such documentation and information has been provided and the Administrator has satisfied itself that all requirements have been met.

FNB Investor Services Proprietary Limited ("Administrator"), Registration Number 2011/139123/07, is an authorised administrative financial services provider (FSP Number 44341) and is the administrator of this investment.

Please select instruction type:

- ☐ Collateral cession (for security) – please complete sections 01, 02 and 03
- ☐ Outright cession (for transfer of ownership) – please complete sections 01, 02 and 04

1. Details of cedent

Investor ID:	<input type="text"/>	Contract number:	<input type="text"/>
Title:	Surname/Entity: <input type="text"/>		
First name(s)/Authorised person: <input type="text"/>			
ID/Passport number (if individual) or registration number (if company or trust):	<input type="text"/>		
Office number:	<input type="text"/>	Mobile number:	<input type="text"/>
Email address: <input type="text"/>			

Note: if you are married in community of property we require your spouse's signature on the last page of this form.

2. Details of cessionary

Investor ID:	<input type="text"/>	Contract number:	<input type="text"/>
Title:	Surname/Entity: <input type="text"/>		
First name(s)/Authorised person: <input type="text"/>			
ID/Passport number (if individual) or registration number (if company or trust):	<input type="text"/>		
Office number:	<input type="text"/>	Mobile number:	<input type="text"/>
Email address: <input type="text"/>			

3. Collateral cession (for security cessions)

Is this cession on the full investment value? ☐ Yes ☐ No

If no, please complete the section below.

Cession to be noted against my interest (units) in the following contracts:

Contract number	Amount	Percentage %
	R	%
	R	%
	R	%

Value of investment on date of notification:

R									
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Date of notification

Y	Y	Y	Y	M	M	D	D
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Cedent's income to continue?

☐ Yes ☐ No ☐ N/A

Married in community of property (COP)?

☐ Yes ☐ No ☐ N/A

4. Outright cession (for transfer of ownership)

Cession to be noted against my interest (units) in the following contracts:

Contract number	Amount	Percentage %
	R	%
	R	%
	R	%

Value of investment on date of notification:

R									
---	--	--	--	--	--	--	--	--	--

Date of notification

Y	Y	Y	Y	M	M	D	D
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Cedent's income to continue?

☐ Yes ☐ No ☐ N/A

Transfer to spouse?

☐ Yes ☐ No ☐ N/A

If Yes, please provide a certified copy of marriage certificate.

Married in community of property (COP)?

☐ Yes ☐ No ☐ N/A

Please note:

- Transfers will be processed to the same fund. A switch instruction will be required if the cessionary wishes to switch funds.
- Capital Gains Tax is not applicable where the transfer is to a spouse.

Signature of cedent: _____

Date:

Y	Y	Y	Y	M	M	D	D
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Signature of cedent: _____

Date:

Y	Y	Y	Y	M	M	D	D
---	---	---	---	---	---	---	---

Signature of spouse
(if married in COP): _____

Date:

Y	Y	Y	Y	M	M	D	D
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Signature of cessionary: _____

Date:

Y	Y	Y	Y	M	M	D	D
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Signature of cessionary: _____

Date:

Y	Y	Y	Y	M	M	D	D
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